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CERTIFICATE OF MAILING

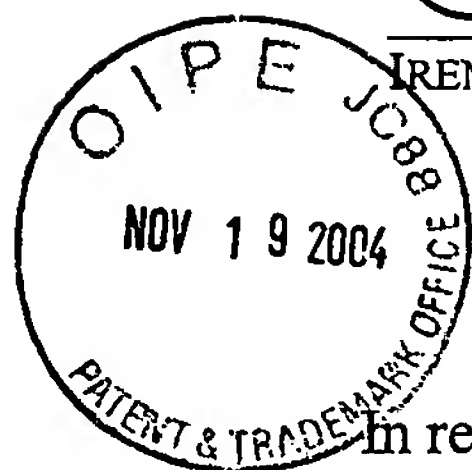
I hereby certify that this *Information Disclosure Statement* is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this, the 17th day of November, 2004.

Customer Number

24024

*Irene Smith*

IRENE SMITH



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |                       |   |                                  |
|-----------------------|-----------------------|---|----------------------------------|
| In re application of: | Jerry Smith           | ) | Examiner: Not Yet Assigned       |
|                       |                       | ) |                                  |
| Serial No.:           | 10/711,058            | ) | Art Unit: Unknown                |
|                       |                       | ) |                                  |
| Filed:                | August 19, 2004       | ) | Confirmation No. Unknown         |
|                       |                       | ) |                                  |
| For:                  | DEAD LOCKING DEADBOLT | ) | Attorney Docket No.: 27475/06407 |

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I. Preliminary Statement

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)), or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

II. Identification of Time of Filing the Accompanying Information Disclosure Statement

☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). As such it is believed that there is no fee due.

☐ The information disclosure statement submitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in

§1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

- ☐ The information disclosure statement transmitted herewith is being filed *after* a final action under §1.113, or a notice of allowance under §1.311, whichever occurs first, but before or simultaneously with, the payment of the issue fee.

### **III. Non-English References**

- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material: \_\_\_\_\_.
- ☐ No English translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.
- ☐ Concise Explanation of Non-English Language Listed Information Items. (Attached)

### **IV. CERTIFICATE**


- ☐ I certify that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ I certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement
- ☐ This information disclosure statement is submitted as a courtesy copy for the Examiner and includes the references from the previously submitted Form 1449s from the parent case of which this is a continuation application.

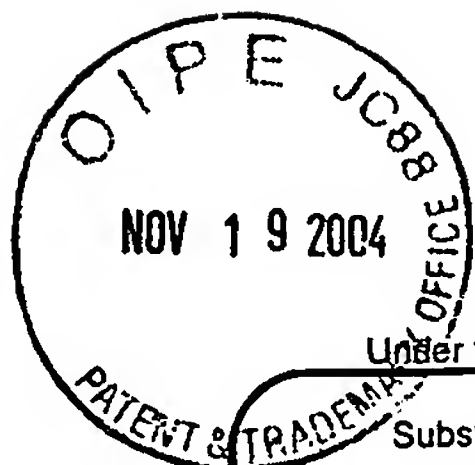
### **V. Fee**

- ☐ Petition fee due (§1.17(p)): \$180.00
- ☐ Attached is a check in the amount of \$180.00.
- ☐ Authorization is hereby made to charge the amount of \$\_\_\_\_\_ to Deposit Account No. 03-0172.
- ☒ Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 03-0172. A duplicate of this paper is attached.

Respectfully submitted,

Date: 11/17/04

  
\_\_\_\_\_  
Douglas B. McKnight  
Reg. No. 50,447  
Telephone: 216/622-8200  
Customer No.: 24024



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |             |
|------------------------|-------------|
| Application Number     | 10/711,058  |
| Filing Date            | 8/19/2004   |
| First Named Inventor   | Jerry Smith |
| Art Unit               |             |
| Examiner Name          |             |
| Attorney Docket Number | 27475/06407 |

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US- 5087090                              | 02-11-1992                     | Humphrey et al.                                    |   |
|                       |                          | US- 2289129                              | 07-07-1942                     | LaLonde, O.  |   |
|                       |                          | US- 1362417                              | 12-14-1920                     | Hammer, I.   |   |
|                       |                          | US- 2297711                              | 10-06-1942                     | LaLonde, O.  |   |
|                       |                          | US- 5072976                              | 12-17-1991                     | Meszaros, S.                                       |   |
|                       |                          | US- 4709566                              | 12-01-1987                     | Wildenradt, C.                                     |   |
|                       |                          | US- 5058940                              | 10-22-1991                     | Hart, L.   |   |
|                       |                          | US- 4979383                              | 12-25-1990                     | Tully, C.  |   |
|                       |                          | US- 5657653                              | 08-19-1997                     | Hensley et al.                                     |   |
|                       |                          | US- 2435634                              | 02-10-1948                     | Nicolin et al.                                     |   |
|                       |                          | US- 6708538                              | 03-23-2004                     | Walby C.   |   |
|                       |                          | US- 2481099                              | 09-06-1949                     | Formo et al.                                       |   |
|                       |                          | US-                                      |                                |  |   |
|                       |                          | US-                                      |                                |  |   |
|                       |                          | US-                                      |                                |  |   |
|                       |                          | US-                                      |                                |  |   |
|                       |                          | US-                                      |                                |  |   |
|                       |                          | US-                                      |                                |  |   |
|                       |                          | US-                                      |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
|                       |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                       |                          | CN-2152033-U  | 01-05-1994                        | HUANG JINXING                                      |   |                |
|                       |                          | TW-0365285  | 10-28-1987                        |  |   |                |
|                       |                          | TW-0580039-Y  | 03-11-2004                        | FAN, FANG-YI                                       |   |                |
|                       |                          | TW-0291890  | 06-10-1985                        |  |   |                |
|                       |                          | TW-0250139  | 08-06-1983                        |  |   |                |
|                       |                          | TW-0550329-B  | 09-01-2003                        | TAKIGEN MANUFAC                                    |   |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

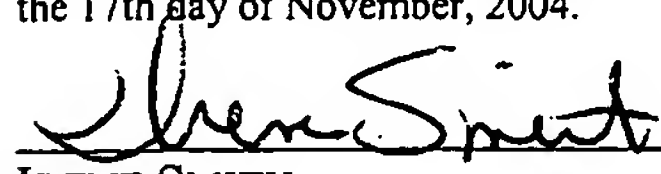
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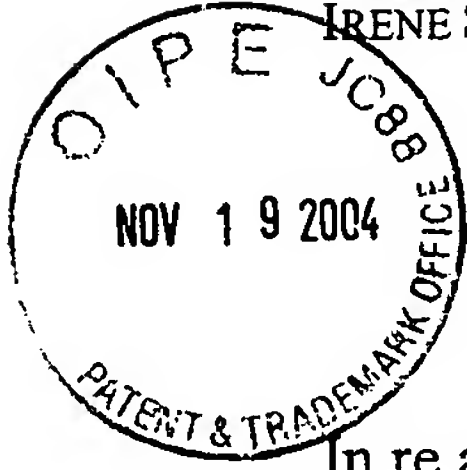
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Customer Number

24024

  
IRENE SMITH



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                          |   |                                  |
|--------------------------|---|----------------------------------|
| In re application of     | ) |                                  |
| Jerry Smith              | ) | Examiner: Not yet assigned       |
|                          | ) |                                  |
| Serial No.: 10/711,058   | ) | Confirmation No.: Unknown        |
|                          | ) |                                  |
| Filed: August 19, 2004   | ) | Art Unit: Unknown                |
|                          | ) |                                  |
| For: <b>DEAD LOCKING</b> | ) | Attorney Docket No.: 27475/06407 |
| <b>DEADBOLT</b>          | ) |                                  |

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Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Sir:

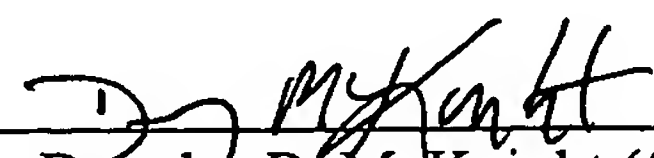
Transmitted herewith are the following:

1. Information Disclosure Statement;
2. PTO Form PTO/SB/08A;
3. References (6); and
4. Return Receipt Postcard.

It is believed that no further fee is required relating to the filing of these documents. If this is not the case, the Patent Office is hereby authorized to charge any related fee to Deposit Account No. 03-0172. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: 11/17/04

By:   
Douglas B. McKnight (50,447)  
216/622-8559



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Inventor: Jerry Smith  
Title: Dead Locking Deadbolt  
Serial No.: 10/711,058  
Filed: August 19, 2004  
Filing of: Information Disclosure Statement  
Docket No.: 27475/06407

Please acknowledge receipt of the following:

- ☒ Transmittal (1 pg.) in duplicate
- ☒ Information Disclosure Statement (3 pgs)
- ☒ Form PTO/SB/08A (1 pg.)
- ☒ References (6)
- ☒ Return receipt postcard

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